

The Insurance Authority (IA) is the independent statutory body whose principal function is to regulate and supervise the Hong Kong insurance industry to promote its stable development and to protect existing and potential policy holders.

We are looking for talents who share the same vision to join us!

IA now invites applications for the following position:

Senior Manager (Enforcement)

Reporting to the Head of Enforcement, the incumbent will assume the following:

Key Responsibilities

- Supervise, manage, and train up a team of regulatory / professional staff in the investigation of and bringing of disciplinary proceedings or prosecution
- Formulate and update investigation and disciplinary policies and procedures
- Undertake forensic inquiries and investigations, analyze evidence and make recommendations to senior management concerning possible breaches of the Insurance Ordinance and its related regulations, and misconduct of intermediaries and authorized insurers
- Assess and prepare cases for disciplinary actions and co-ordinate with the Disciplinary Panel, Disciplinary Executive Panel and/or other advisory committee on these cases
- Act as the Disciplinary Panel secretariat for cases determined by the Disciplinary Panel
- Liaise with the Department of Justice, industry and regulatory bodies, and other law enforcement agencies on matters relating to the regulation, supervision and prosecution of insurance intermediaries
- Handle prosecution work for contraventions under the Insurance Ordinance
- Prepare cases for appeals and co-ordinate with the Insurance Appeal Tribunal on appeal cases
- Perform other duties as assigned by senior management to facilitate the administration and performance of the statutory functions of IA

Requirements

- A Bachelor's degree or professional qualification in law, insurance, accounting or related disciplines
- Minimum 8 years' relevant work experience, in law enforcement, investigation, disciplinary, litigation, dispute resolution, compliance or regulatory fields
- Knowledge of the local regulatory requirements, insurance products, industry practices and market dynamics relating to the insurance business
- Prior experience in handling complex investigation, disciplinary and prosecution matters are advantageous
- Strong analytical, leadership, interpersonal, communication skills and an ability to work under pressure
- Excellent command of written and spoken English and Chinese, and the ability to communicate effectively and articulate complex transactions simply is essential

Application

Review of the applications will start as soon as possible and continue until **30 October 2024**, or until the post is filled. Interested applicants may send a letter of application quoting the reference number in the heading, together with a full resume stating current and expected salaries by clicking "[Apply](#)".

The Insurance Authority is an equal opportunities employer. Applicants not invited for an interview within 2 months from the closing date may assume their applications unsuccessful. Applications may be considered for other suitable positions within IA. All applications will be handled in strict confidence and the information collected will only be used for recruitment purposes. All information on non-shortlisted applicants will be destroyed after 6 months from the close of application.

