**Feedback to Consultation Paper on Draft Guidelines on the**

**(1) Fit and Proper Criteria for Licensed Insurance Intermediaries under the Insurance Ordinance (Cap. 41) and**

**(2) Continuing Professional Development for Licensed Insurance Intermediaries**

*(Comments should be sent to the Insurance Authority on or before 30 November 2018.)*

**To: Insurance Authority**

(email: comment\_codeandguideline@ia.org.hk)

**Name of Respondent:**

**Contact Person (if Respondent is an organization):**

**Contact Details:**

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| Question 1  Do you agree that the proposed new minimum education and professional qualification requirements for individual licensees are appropriate? |
| Question 2  Do you agree that the proposed new minimum education and professional qualification requirements for responsible officers are appropriate? |

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| Question 3  Do you agree that the proposed new experience requirements for responsible officers are appropriate? |
| Question 4  Do you agree that the proposed professional competence requirements for firms are appropriate? |
| Question 5Do you agree that the proposed increase of minimum number of CPD hours from 10 to 15 hours per year is appropriate? |
| Question 6Do you agree that the proposed introduction of a minimum number of CPD hours for compulsory topics, i.e. “Ethics or Regulations” is appropriate? |

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| Question 7 Do you agree that the assessment period should run from 1 August of the relevant year and end on 31 July of the following year? |
| Question 8Do you agree that the proposed relaxations for individual licensees who are deemed licensees are appropriate? |
| Question 9Do you agree that the proposed transitional CPD requirements for individual licensees who are deemed licensees are appropriate? |